

Introduction to Machine Learning

Lecture 09: Decision Tree

Nov 14, 2023

Jie Wang

Machine Intelligence Research and Applications Lab

Department of Electronic Engineering and Information Science (EEIS)

<http://staff.ustc.edu.cn/~jwangx/>

jiawangx@ustc.edu.cn

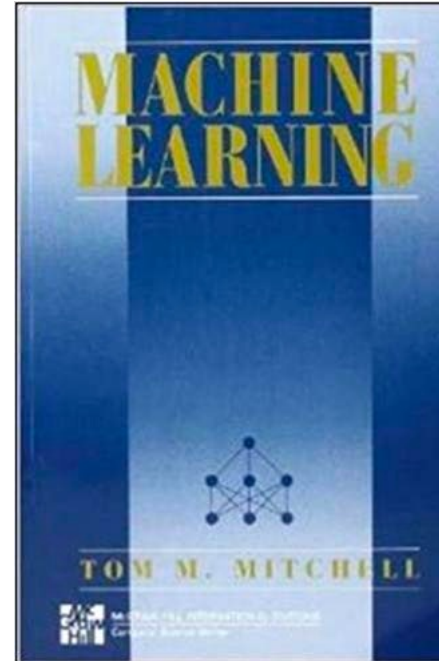


Machine Intelligence Research and Applications Lab



Contents

- **Example**
- **ID3**
- **Extensions of ID3**



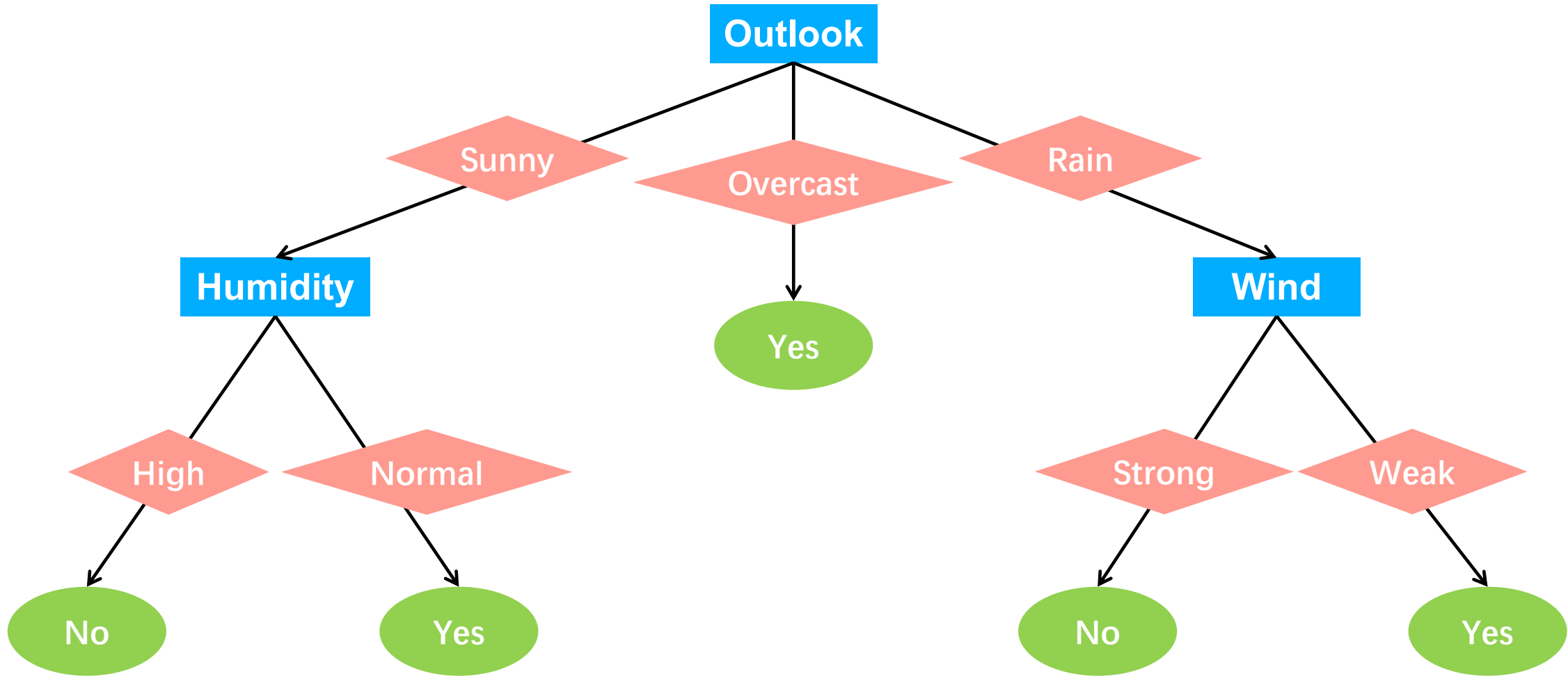
Chapter 3

- **Example**
-

Example

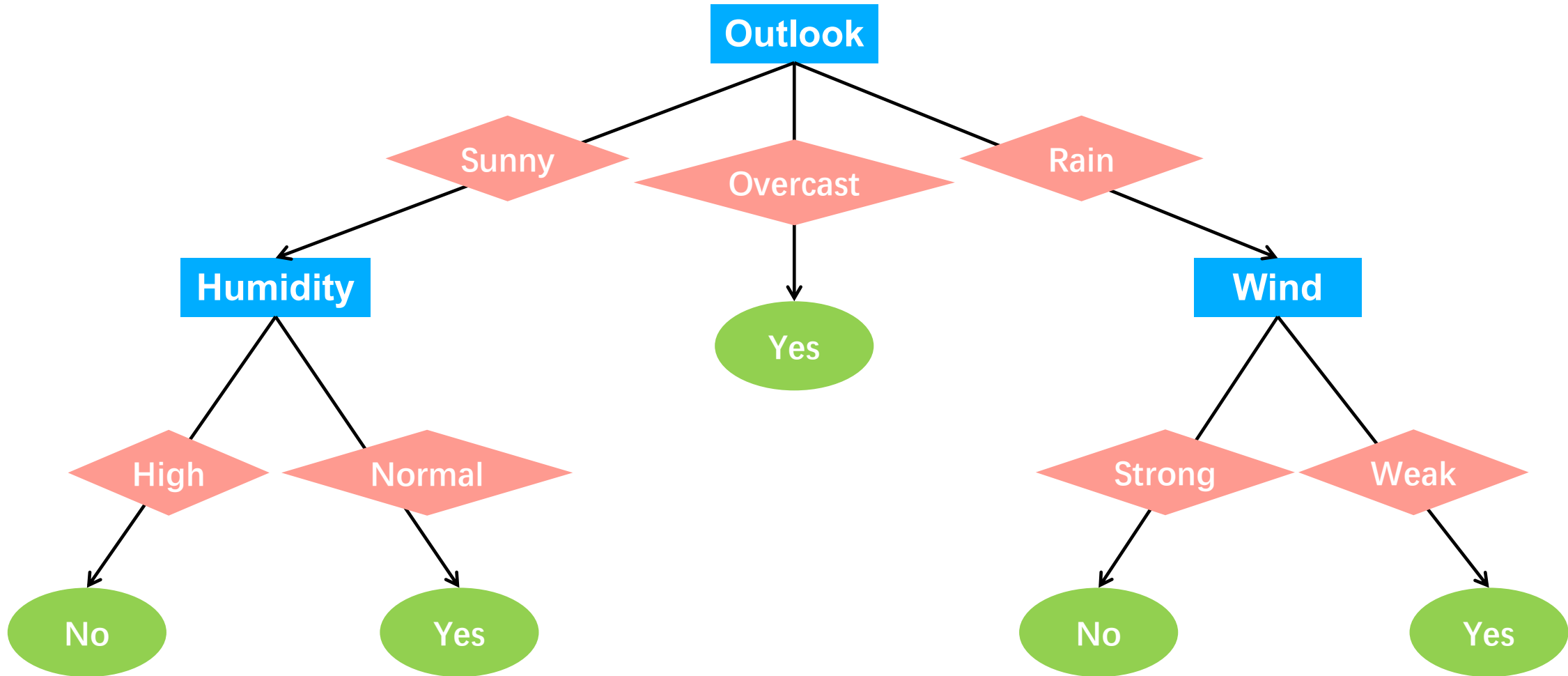
Features/attributes					labels
Day	Outlook	Temperature	Humidity	Wind	PlayTennis
D1	Sunny	Hot	High	Weak	No
D2	Sunny	Hot	High	Strong	No
D3	Overcast	Hot	High	Weak	Yes
D4	Rain	Mild	High	Weak	Yes
D5	Rain	Cool	Normal	Weak	Yes
D6	Rain	Cool	Normal	Strong	No
D7	Overcast	Cool	Normal	Strong	Yes
D8	Sunny	Mild	High	Weak	No
D9	Sunny	Cool	Normal	Weak	Yes
D10	Rain	Mild	Normal	Weak	Yes
D11	Sunny	Mild	Normal	Strong	Yes
D12	Overcast	Mild	High	Strong	Yes
D13	Overcast	Hot	Normal	Weak	Yes
D14	Rain	Mild	High	Strong	No

Example



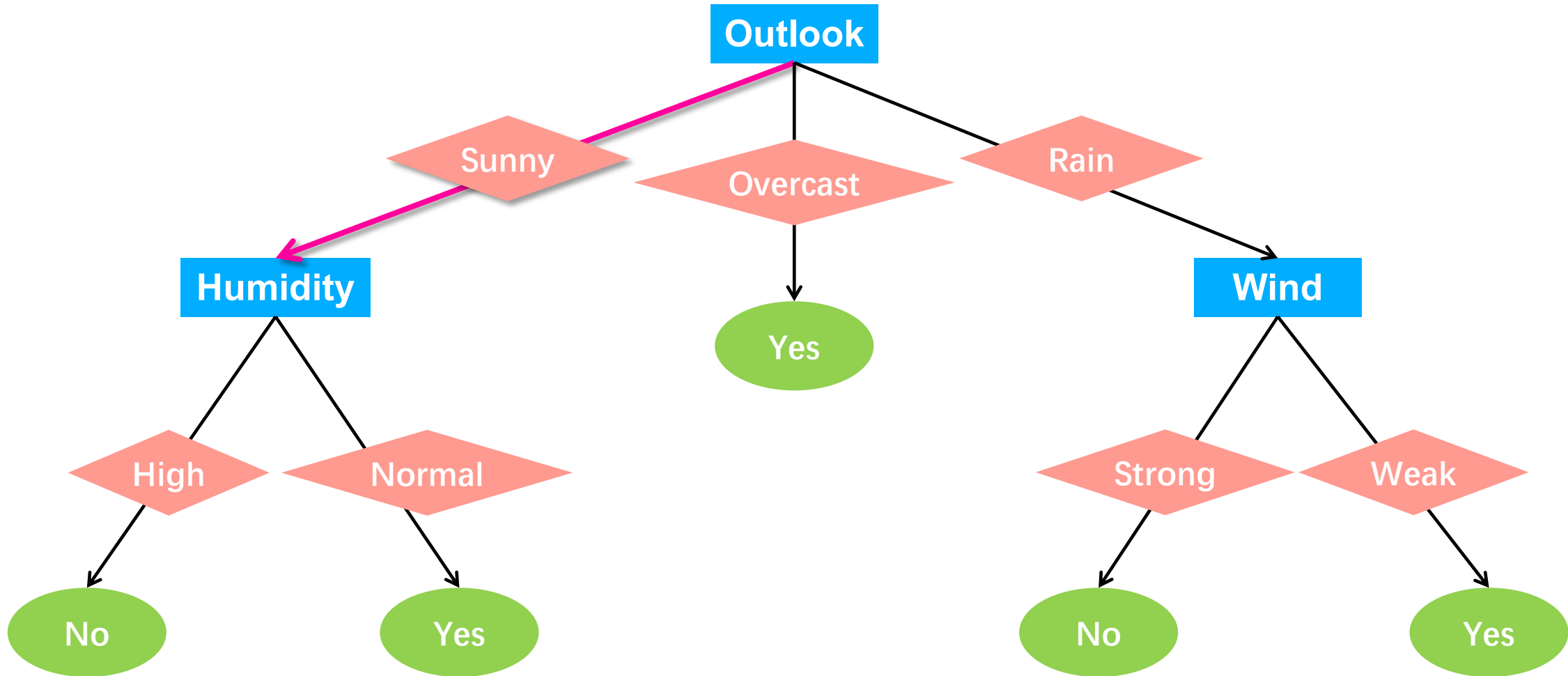
Example

{Outlook=Sunny, Temperature=Hot, Humidity=High, Wind=Strong}



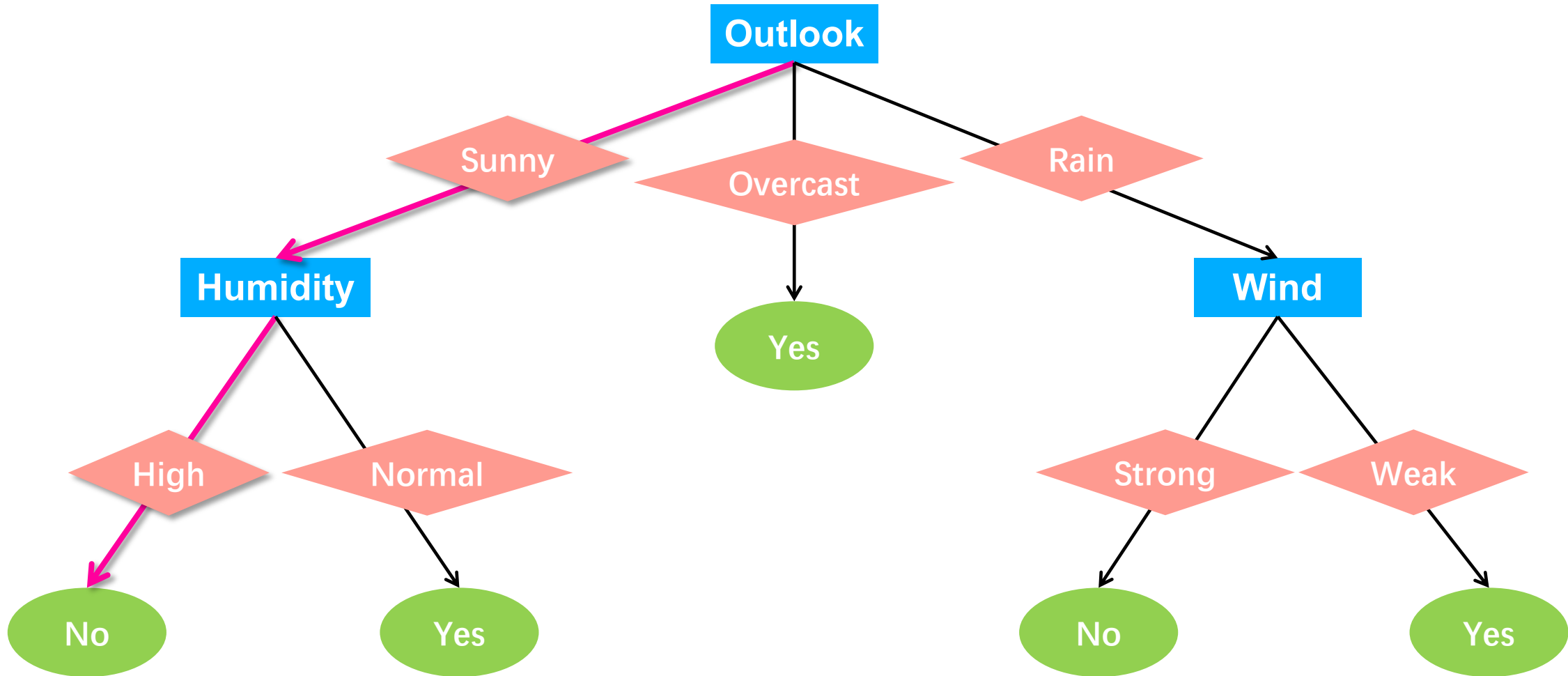
Example

{Outlook=Sunny, Temperature=Hot, Humidity=High, Wind=Strong}



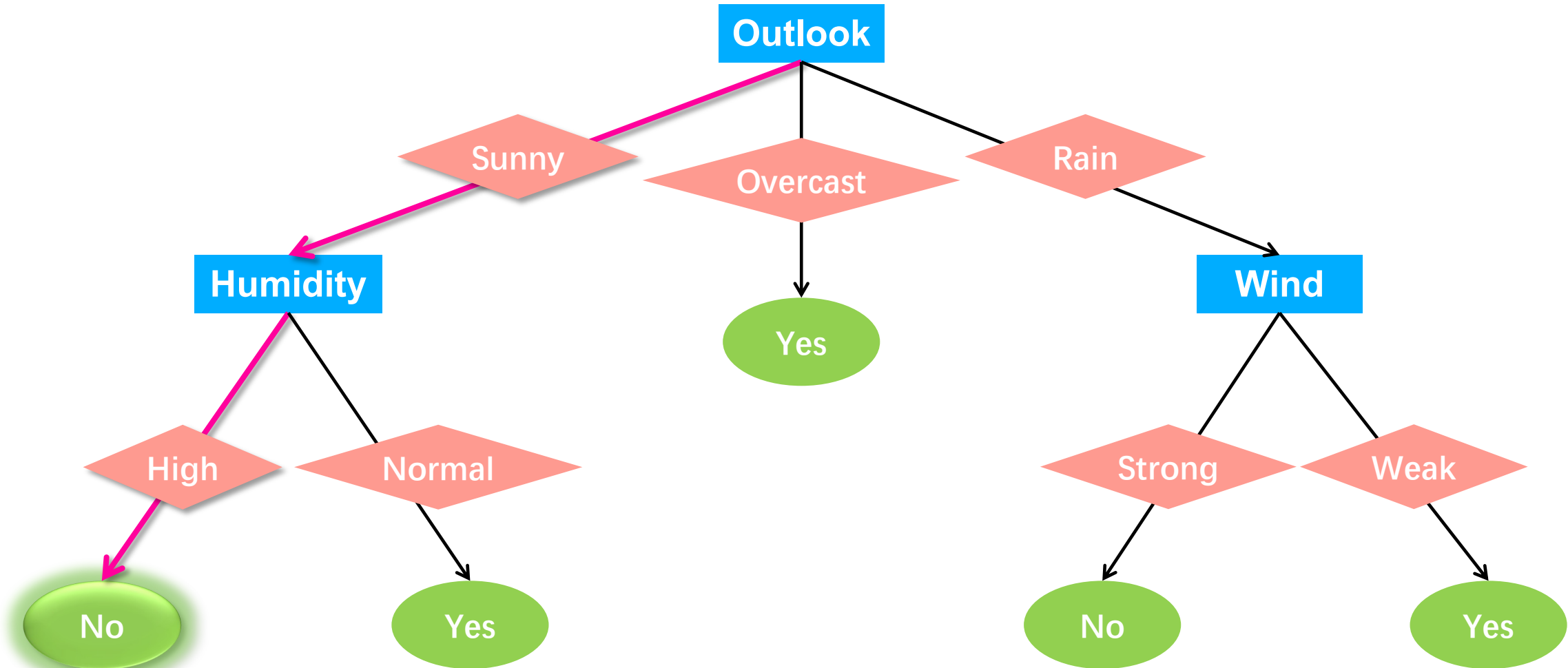
Example

{Outlook=Sunny, Temperature=Hot, Humidity=High, Wind=Strong}



Example

{Outlook=Sunny, Temperature=Hot, Humidity=High, Wind=Strong}



Appropriate Problems

- Each attribute takes on a small number of disjoint possible values.
- The target function has discrete output values (classification).
- The training data may contain missing attribute values.
-

- **ID3**
-

ID3

Which Attribute is the best classifier?

ID3

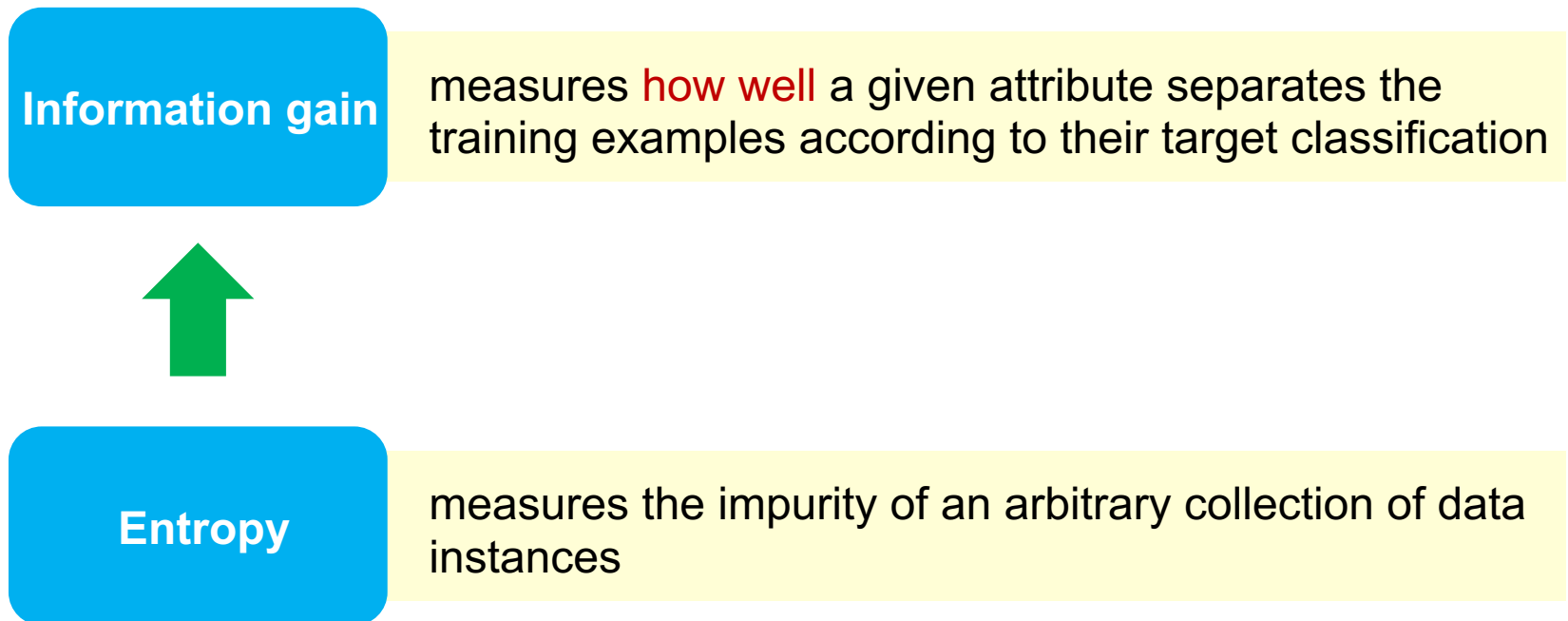
Which Attribute is the best classifier?

Information gain

measures how well a given attribute separates the training examples according to their target classification

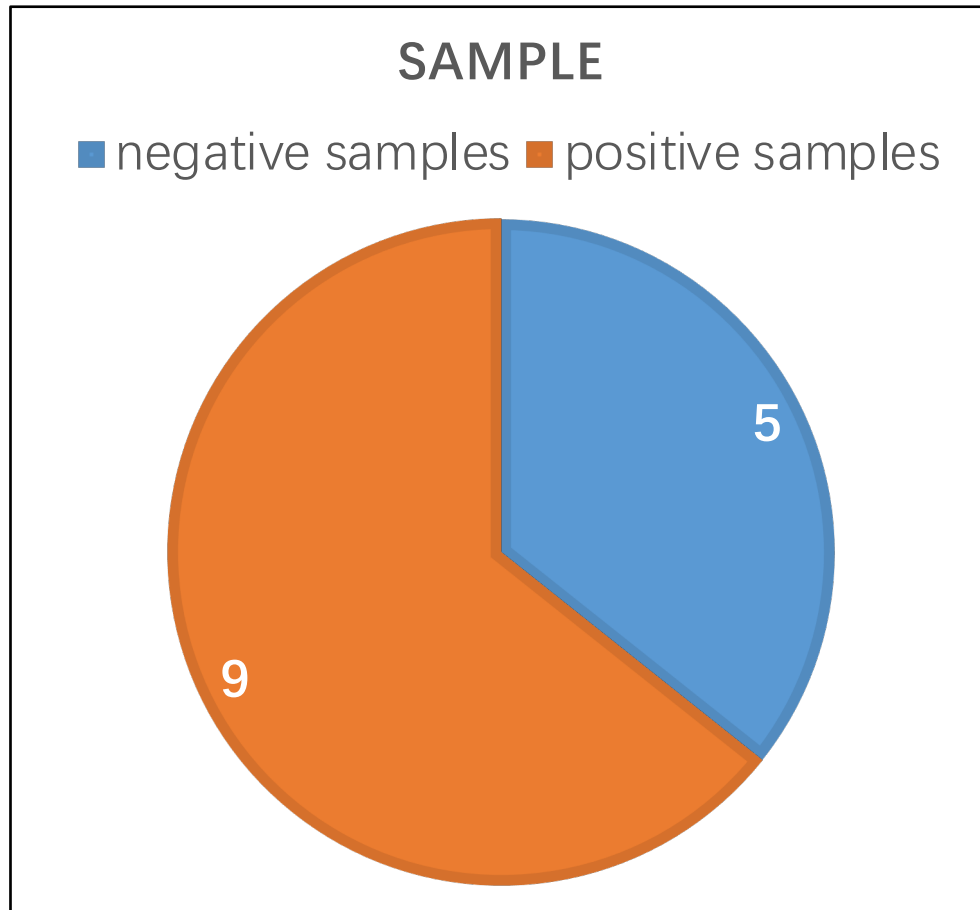
ID3

- Which Attribute is the best classifier?



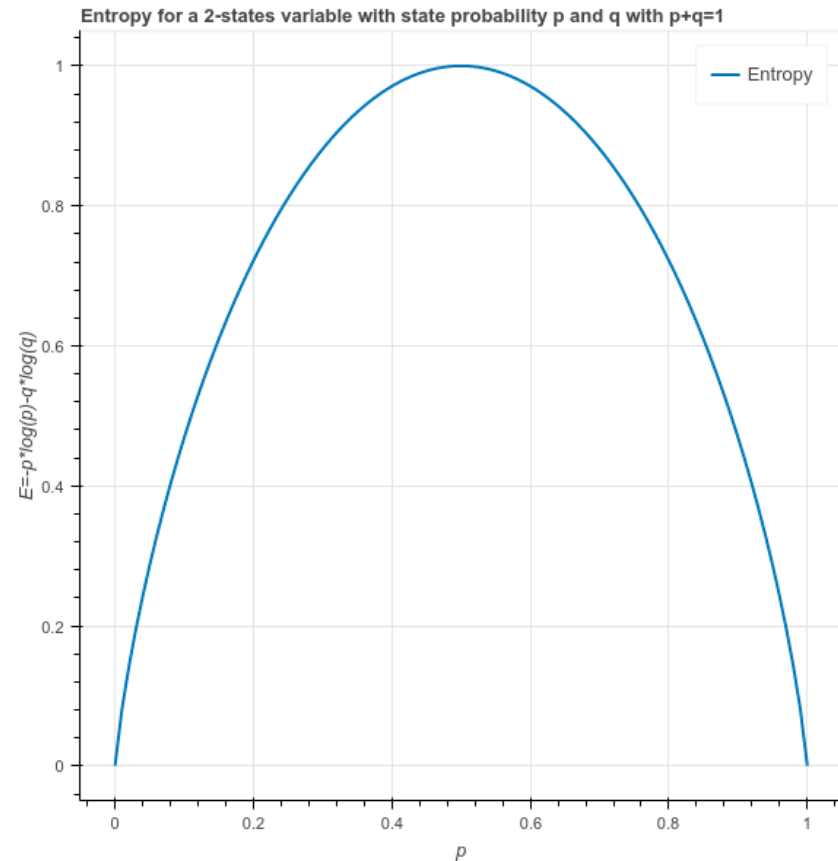
Entropy

$$\text{Entropy}(S) := -p_+ \log_2 p_+ - p_- \log_2 p_-$$



$$\begin{aligned} & \text{Entropy}([9+, 5-]) \\ &= - (9/14) \log_2(9/14) - (5/14) \log_2(5/14) \\ &= 0.94 \end{aligned}$$

Entropy



- The entropy is 0 if all members of S belong to the same class.
- The entropy is 1 when S contains an equal number of positive and negative examples.

Information Gain

$$Gain(S, A) := Entropy(S) - \sum_{v \in \text{Values}(A)} \frac{|S_v|}{|S|} Entropy(S_v)$$

Day	Outlook	Temperature	Humidity	Wind	PlayTennis
D1	Sunny	Hot	High	Weak	No
D2	Sunny	Hot	High	Strong	No
D3	Overcast	Hot	High	Weak	Yes
D4	Rain	Mild	High	Weak	Yes
D5	Rain	Cool	Normal	Weak	Yes
D6	Rain	Cool	Normal	Strong	No
D7	Overcast	Cool	Normal	Strong	Yes
D8	Sunny	Mild	High	Weak	No
D9	Sunny	Cool	Normal	Weak	Yes
D10	Rain	Mild	Normal	Weak	Yes
D11	Sunny	Mild	Normal	Strong	Yes
D12	Overcast	Mild	High	Strong	Yes
D13	Overcast	Hot	Normal	Weak	Yes
D14	Rain	Mild	High	Strong	No

Information Gain

$$Gain(S, A) := Entropy(S) - \sum_{v \in \text{Values}(A)} \frac{|S_v|}{|S|} Entropy(S_v)$$

Day	Outlook	Temperature	Humidity	Wind	PlayTennis
D1	Sunny	Hot	High	Weak	No
D2	Sunny	Hot	High	Strong	No
D3	Overcast	Hot	High	Weak	Yes
D4	Rain	Mild	High	Weak	Yes
D5	Rain	Cool	Normal	Weak	Yes
D6	Rain	Cool	Normal	Strong	No
D7	Overcast	Cool	Normal	Strong	Yes
D8	Sunny	Mild	High	Weak	No
D9	Sunny	Cool	Normal	Weak	Yes
D10	Rain	Mild	Normal	Weak	Yes
D11	Sunny	Mild	Normal	Strong	Yes
D12	Overcast	Mild	High	Strong	Yes
D13	Overcast	Hot	Normal	Weak	Yes
D14	Rain	Mild	High	Strong	No

$\text{Values}(\text{Wind}) = \{\text{Weak}, \text{Strong}\}$

$$S = [9+, 5-]$$

$$S_{\text{Weak}} \leftarrow [6+, 2-]$$

$$S_{\text{Strong}} \leftarrow [3+, 3-]$$

Information Gain

$$Gain(S, A) := Entropy(S) - \sum_{v \in \text{Values}(A)} \frac{|S_v|}{|S|} Entropy(S_v)$$

Day	Outlook	Temperature	Humidity	Wind	PlayTennis
D1	Sunny	Hot	High	Weak	No
D2	Sunny	Hot	High	Strong	No
D3	Overcast	Hot	High	Weak	Yes
D4	Rain	Mild	High	Weak	Yes
D5	Rain	Cool	Normal	Weak	Yes
D6	Rain	Cool	Normal	Strong	No
D7	Overcast	Cool	Normal	Strong	Yes
D8	Sunny	Mild	High	Weak	No
D9	Sunny	Cool	Normal	Weak	Yes
D10	Rain	Mild	Normal	Weak	Yes
D11	Sunny	Mild	Normal	Strong	Yes
D12	Overcast	Mild	High	Strong	Yes
D13	Overcast	Hot	Normal	Weak	Yes
D14	Rain	Mild	High	Strong	No

$\text{Values}(\text{Wind}) = \{\text{Weak}, \text{Strong}\}$

$S = [9+, 5-]$

$S_{\text{Weak}} \leftarrow [6+, 2-]$

$S_{\text{Strong}} \leftarrow [3+, 3-]$

$Gain(S, \text{Wind})$

$$= Entropy(S) - \sum_{v \in \{\text{Weak}, \text{Strong}\}} \frac{|S_v|}{|S|} Entropy(S_v)$$

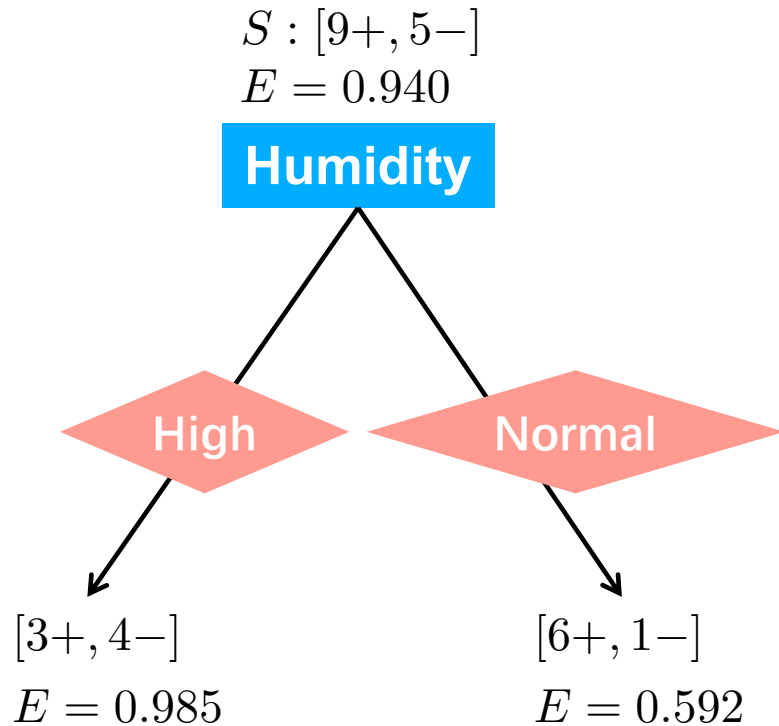
$$= Entropy(S) - (8/14) Entropy(S_{\text{Weak}}) - (6/14) Entropy(S_{\text{Strong}})$$

$$= 0.940 - (8/14)0.811 - (6/14)1.00$$

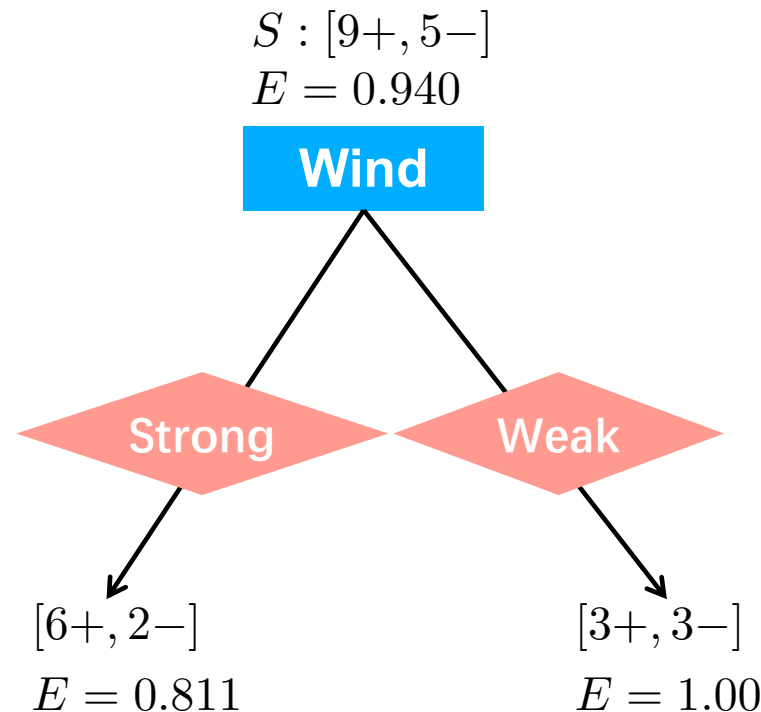
$$= 0.048$$

Information Gain

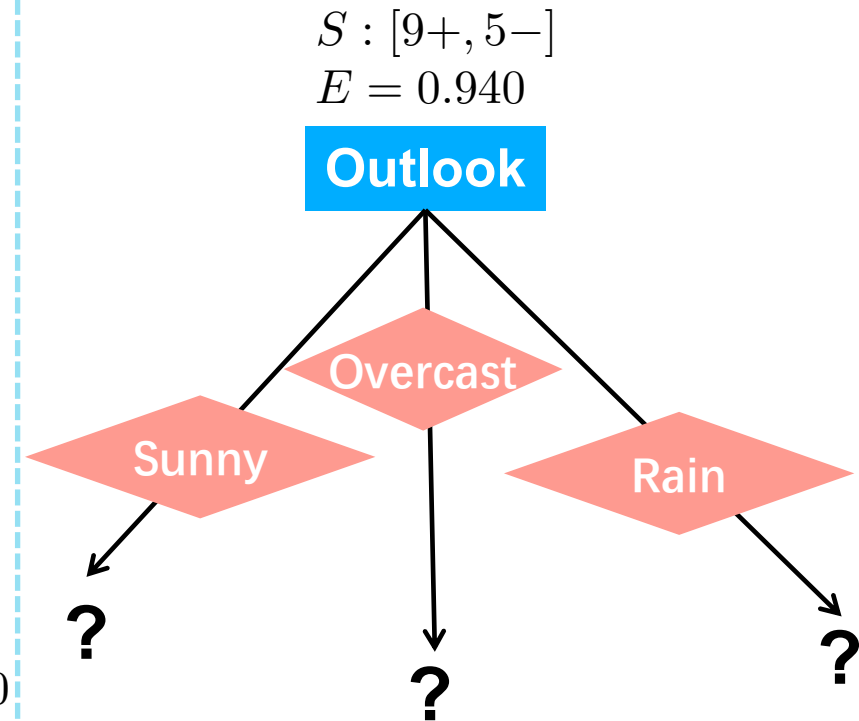
- Which Attribute is the best classifier?



$$\begin{aligned} \text{Gain}(S, \text{Humidity}) &= 0.94 - (7/14)0.985 - (7/14)0.592 \\ &= 0.151 \end{aligned}$$



$$\begin{aligned} \text{Gain}(S, \text{Wind}) &= 0.94 - (8/14)0.811 - (6/14)1.0 \\ &= 0.048 \end{aligned}$$



$$\text{Gain}(S, \text{Outlook}) = ?$$

Information Gain

ID3(*Examples*, *Target_attribute*, *Attributes*)

Examples are the training examples. *Target_attribute* is the attribute whose value is to be predicted by the tree. *Attributes* is a list of other attributes that may be tested by the learned decision tree. Returns a decision tree that correctly classifies the given *Examples*.

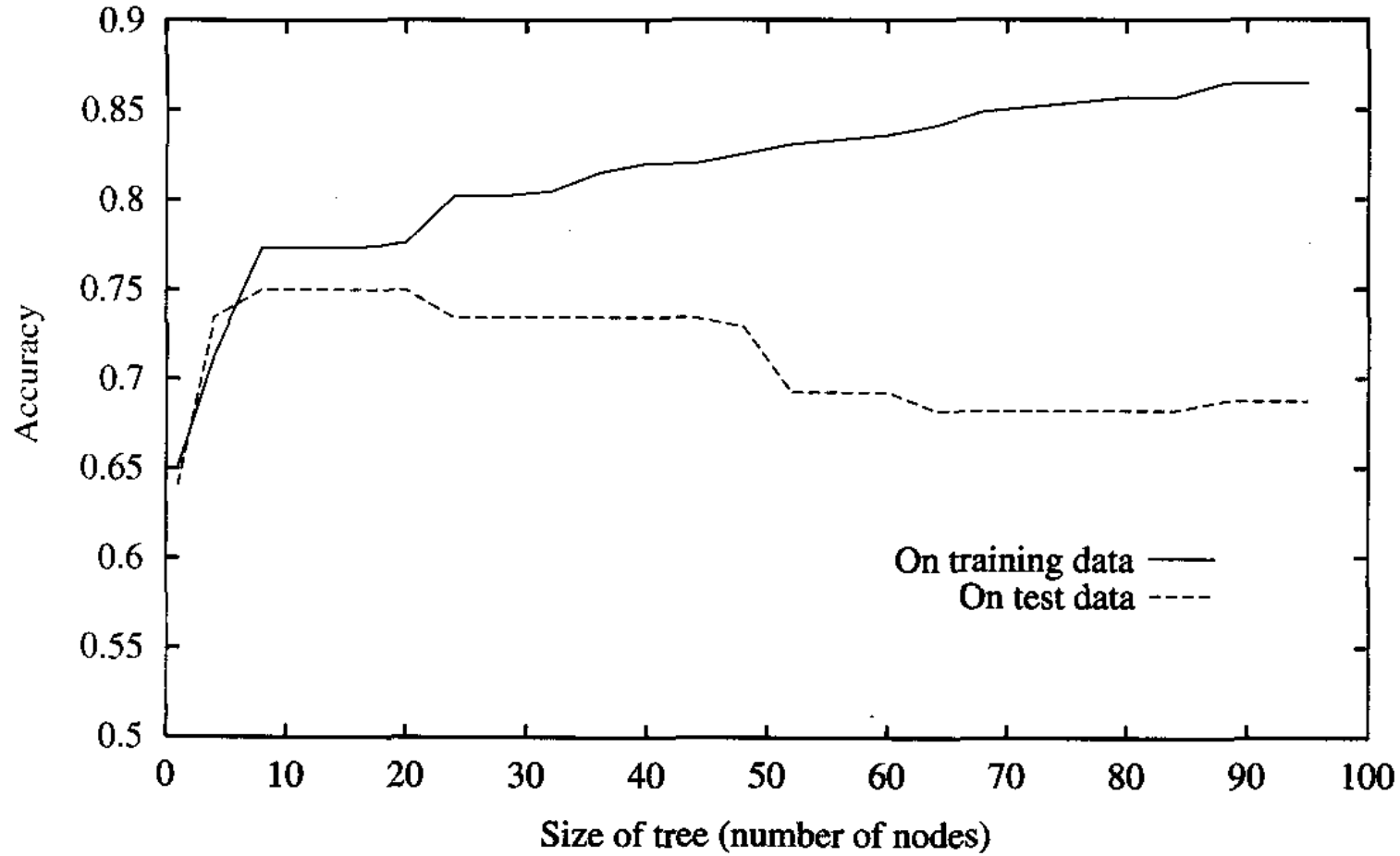
dealing with the corner cases

- Create a *Root* node for the tree
- If all *Examples* are positive, Return the single-node tree *Root*, with label = +
- If all *Examples* are negative, Return the single-node tree *Root*, with label = -
- If *Attributes* is empty, Return the single-node tree *Root*, with label = most common value of *Target_attribute* in *Examples*
- Otherwise Begin
 - $A \leftarrow$ the attribute from *Attributes* that best* classifies *Examples*
 - The decision attribute for *Root* $\leftarrow A$
 - For each possible value, v_i , of A ,
 - Add a new tree branch below *Root*, corresponding to the test $A = v_i$
 - Let $Examples_{v_i}$ be the subset of *Examples* that have value v_i for A
 - If $Examples_{v_i}$ is empty
 - Then below this new branch add a leaf node with label = most common value of *Target_attribute* in *Examples*
 - Else below this new branch add the subtree
ID3($Examples_{v_i}$, *Target_attribute*, $Attributes - \{A\}$)
- End
- Return *Root*

For the tree constructed by ID3, we shall not see an attribute more than once along any paths.

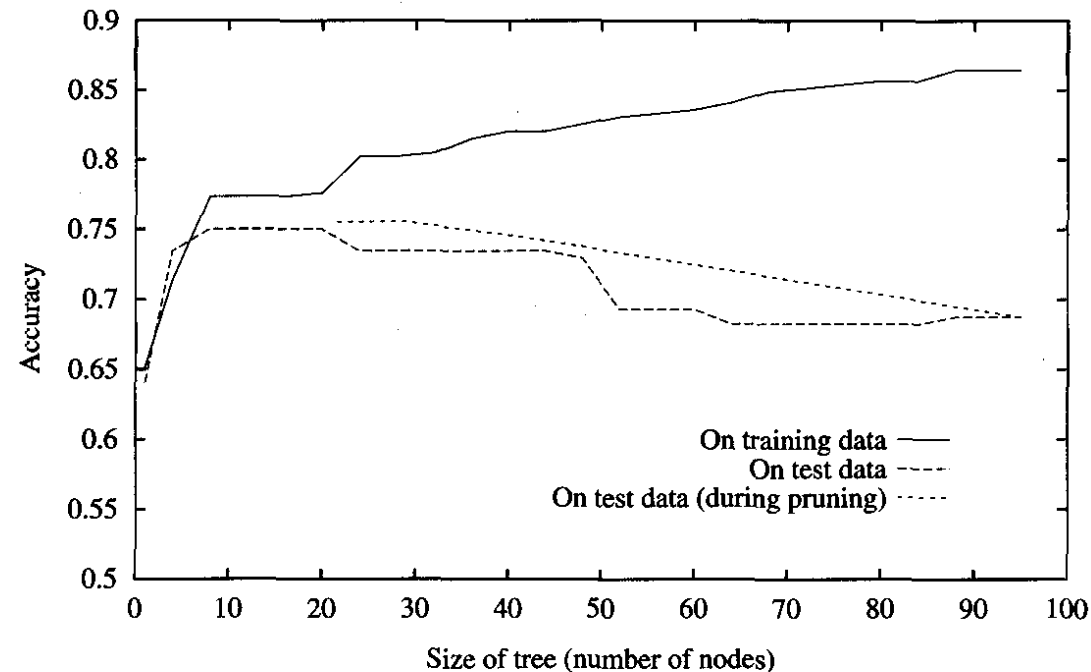
Pruning

- Overfitting



Pruning

- Post-pruning
 - Split the data into a training set and a validation set
 - Train the decision tree on the training set
 - **While** pruning improves the accuracy of the tree on the **validation set**
 - Scan the nodes one by one
 - **If** removing the nodes (and all its descendants) improves the accuracy of the tree on the validation set
 - Remove the node and all its descendants
 - **Endif**

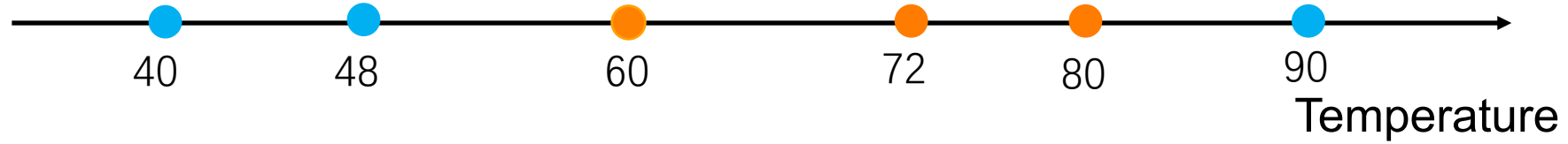


Questions

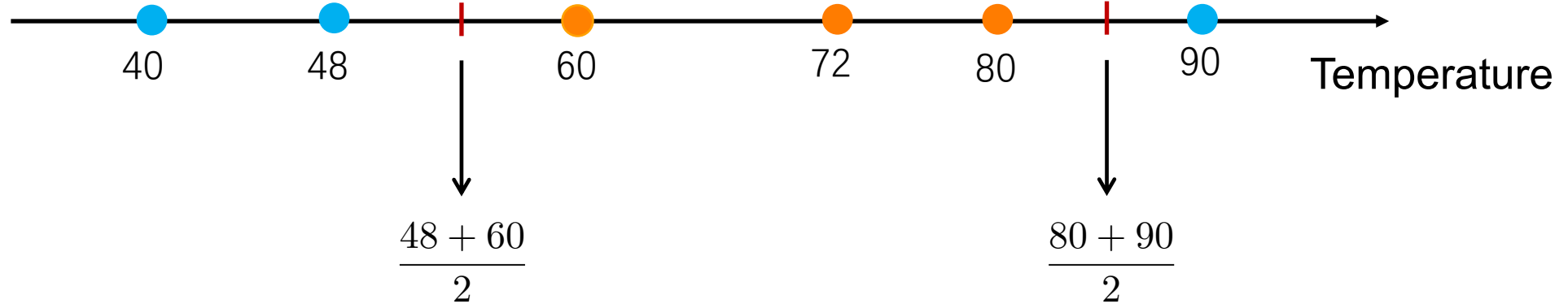
- Does there exist an attribute (may only in theory) that leads to the maximum information gain?
- Is the information gain always nonnegative?

- **Extensions of ID3**
-

Continuous-Valued Attributes



Continuous-Valued Attributes



Missing Attribute Values

Day	Outlook	Temperature	Humidity	Wind	PlayTennis
D1	Sunny	Hot	High	Weak	No
D2	Sunny	Hot	High	Strong	No
D3	Overcast	Hot	High	Weak	Yes
D4	Rain	Mild	High	Weak	Yes
D5	Rain	Cool	Normal	Weak	Yes
D6	?	Cool	Normal	Strong	No
D7	Overcast	Cool	Normal	Strong	Yes
D8	Sunny	Mild	High	Weak	No
D9	Sunny	Cool	Normal	Weak	Yes
D10	Rain	Mild	Normal	Weak	Yes
D11	Sunny	Mild	Normal	Strong	Yes
D12	Overcast	Mild	High	Strong	Yes
D13	Overcast	Hot	Normal	Weak	Yes
D14	Rain	Mild	High	Strong	No

- Approach 1
 - Assign the common value to the missing attribute value

Missing Attribute Values

Day	Outlook	Temperature	Humidity	Wind	PlayTennis
D1	Sunny	Hot	High	Weak	No
D2	Sunny	Hot	High	Strong	No
D3	Overcast	Hot	High	Weak	Yes
D4	Rain	Mild	High	Weak	Yes
D5	Rain	Cool	Normal	Weak	Yes
D6	?	Cool	Normal	Strong	No
D7	Overcast	Cool	Normal	Strong	Yes
D8	Sunny	Mild	High	Weak	No
D9	Sunny	Cool	Normal	Weak	Yes
D10	Rain	Mild	Normal	Weak	Yes
D11	Sunny	Mild	Normal	Strong	Yes
D12	Overcast	Mild	High	Strong	Yes
D13	Overcast	Hot	Normal	Weak	Yes
D14	Rain	Mild	High	Strong	No

- Approach 1
 - Assign the common value to the missing attribute value
- Approach 2
 - Weight the instance by the frequencies of the attribute values

Missing Attribute Values

Day	Outlook	Temperature	Humidity	Wind	PlayTennis
D1	Sunny	Hot	High	Weak	No
D2	Sunny	Hot	High	Strong	No
D3	Overcast	Hot	High	Weak	Yes
D4	Rain	Mild	High	Weak	Yes
D5	Rain	Cool	Normal	Weak	Yes
D6	?	Cool	Normal	Strong	No
D7	Overcast	Cool	Normal	Strong	Yes
D8	Sunny	Mild	High	Weak	No
D9	Sunny	Cool	Normal	Weak	Yes
D10	Rain	Mild	Normal	Weak	Yes
D11	Sunny	Mild	Normal	Strong	Yes
D12	Overcast	Mild	High	Strong	Yes
D13	Overcast	Hot	Normal	Weak	Yes
D14	Rain	Mild	High	Strong	No

- Approach 1
 - Assign the common value to the missing attribute value
- Approach 2
 - Weight the instance by the frequencies of the attribute values

D6	?	Cool	Normal	Strong	No
----	---	------	--------	--------	----

Missing Attribute Values

Day	Outlook	Temperature	Humidity	Wind	PlayTennis
D1	Sunny	Hot	High	Weak	No
D2	Sunny	Hot	High	Strong	No
D3	Overcast	Hot	High	Weak	Yes
D4	Rain	Mild	High	Weak	Yes
D5	Rain	Cool	Normal	Weak	Yes
D6	?	Cool	Normal	Strong	No
D7	Overcast	Cool	Normal	Strong	Yes
D8	Sunny	Mild	High	Weak	No
D9	Sunny	Cool	Normal	Weak	Yes
D10	Rain	Mild	Normal	Weak	Yes
D11	Sunny	Mild	Normal	Strong	Yes
D12	Overcast	Mild	High	Strong	Yes
D13	Overcast	Hot	Normal	Weak	Yes
D14	Rain	Mild	High	Strong	No

- Approach 1
 - Assign the common value to the missing attribute value
- Approach 2
 - Weight the instance by the frequencies of the attribute values

D6	?	Cool	Normal	Strong	No
↓					
D6-1	Sunny	Cool	Normal	Strong	No
D6-2	Overcast	Cool	Normal	Strong	No
D6-3	Rain	Cool	Normal	Strong	No

5/13

4/13

4/13

Resources

- <http://www.r2d3.us/visual-intro-to-machine-learning-part-1/>